

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

50176  
Docket No.  
15115/005001

In Re Application Of: Hironobu KIYOMOTO, Hayami HOSOKAWA, Naru YASUDA, Kenji HOMMA, and Yukari TERAKAWA

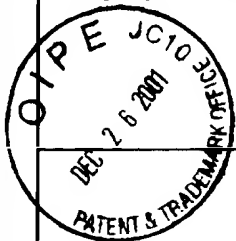
Serial No.  
09/903,437

Filing Date  
July 11, 2001

Examiner

Group Art Unit  
3672

Invention: OPTICAL DEVICE AND APPARATUS USING OPTICAL DEVICE



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
September 27, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☒ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

English translation of the specification  
Substitute drawings  
Verification of Translation

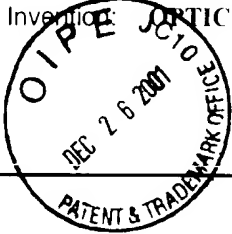
**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**15115/005001**

In Re Application Of: **Hironobu KIYOMOTO, Hayami HOSOKAWA, Naru YASUDA, Kenji HOMMA, and Yukari TERAKAWA**

Serial No.	Filing Date	Examiner	Group Art Unit
09/903,437	July 11, 2001		

Invention: OPTICAL DEVICE AND APPARATUS USING OPTICAL DEVICE



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

### Box Missing Parts

☒ Completion of application fees as calculated below:

 Utility application filing fee	<b>\$740.00</b>
--	-----------------

☐ Design application filing fee

**Total number of independent claims = 12 \$756.00**

**☑ Total number of claims = 47 \$486.00**

Multiple dependent claims	\$280.00
---	----------

<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$130.00
---	----------

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

Fee for processing an application filed with a non-English language specification	\$130.00
---	----------

☐ Fee for processing and retention of application

Total completion of application fees	<b>\$2,522.00</b>
--------------------------------------	-------------------

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from:

until:

*De la*

Total fees due	\$2,522.00
----------------	------------

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
15115/005001

In Re Application Of: **Hironobu KIYOMOTO, Hayami HOSOKAWA, Naru YASUDA, Kenji HOMMA, and Yukari TERAKAWA**

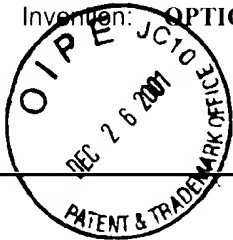
Serial No.  
09/903,437

Filing Date  
July 11, 2001

Examiner

Group Art Unit  
3672

Invention: **OPTICAL DEVICE AND APPARATUS USING OPTICAL DEVICE**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$2,522.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0591**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0591**  
A duplicate copy of this sheet is enclosed.




*Signature*

**Jonathan P. Osha, Reg. No. 33,986**  
ROSENTHAL & OSHA LLP

Dated: 

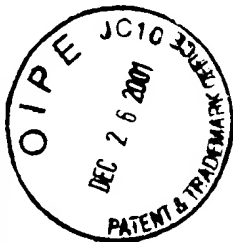
Telephone: 713-228-8600  
Facsimile: 713-228-8778

  
Assistant Commissioner for Patents, Washington, D.C.  
20231

  
*Signature of Person Mailing Correspondence*

**Wendy L. Hippe**  
*Typed or Printed Name of Person Mailing Correspondence*

CC



PATENT  
ATTORNEY DOCKET NO. 15115.005001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hironobu KIYOMOTO et al. Art Unit : 3672  
Serial No. : 09/903,437 Examiner :  
Filed : July 11, 2001  
Title : OPTICAL DEVICE AND APPARATUS USING OPTICAL DEVICE

Assistant Commissioner for Patents  
Washington, DC 20231

**SUBMISSION OF SUBSTITUTE DRAWINGS**

In response to the Notice To File Missing Parts mailed September 27, 2001, please substitute the enclosed one hundred and thirty four (134) sheets of drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to our Deposit Account No. 50-0591, reference Number 15115.005001.

Respectfully submitted,

Date: 11/20/01

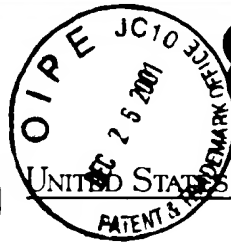
Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, TX 77002

Telephone: 713 228-8600  
Facsimile: 713 228-8778

Date of Deposit 11-20-2001  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the U.S. Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Wendy L. Hippe*



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,437	07/11/2001	Hironobu Kiyomoto	15115.005001

CONFIRMATION NO. 8917

 Rosenthal & Osha L.L.P.  
 Suite 4550  
 700 Louisiana  
 Houston, TX 77002

12/27/2001 SSESHE1 00000042 09903437

 01 FC:101  
 02 FC:103  
 03 FC:102  
 04 FC:104  
 05 FC:105  
 06 FC:139

 740.00 OP  
 486.00 OP  
 756.00 OP  
 280.00 OP  
 130.00 OP  
 130.00 OP

FORMALITIES LETTER



\*OC000000006800239\*

Date Mailed 09/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

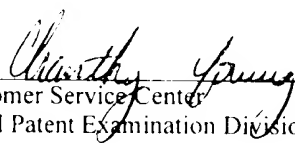
- The statutory basic filing fee is missing. *\$740 effective Oct. 1, 2001*  
*Applicant must submit \$710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

- Substitute drawings in compliance with 37 CFR 1.84 because

- drawing figures contain text that is not in English, including, for example, a few lines of text that was originally not in English that has been marked up to include the English text.
- (See 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1).)

---

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY